



Attorney's Docket No. 5489.P009

PATENT

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MAY 29 2002

In Re Patent Application of:

Chang-Hee Lee, et al.

Application No.: 10/024,905

Filed: December 18, 2001

For: A TUNABLE NARROW BAND
OPTICAL FILTER

Assistant Commissioner for Patents
Washington, D.C. 20231

Examiner: Not Yet Assigned

Art Unit: 2633

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Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of

the appropriate paragraph):

 X 37 C.F.R. §1.97(b).

 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

 A statement pursuant to 37 C.F.R. §1.97(e) or

 A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

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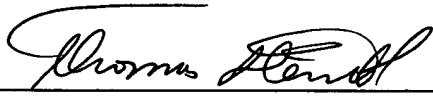
- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
- (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 5-21-02



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Substitute for Form 1449/PTO (Modified)		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	10/024,905
		Filing Date	12/18/2001
		First Named Inventor:	Chang-Hee Lee
		Group Art Unit	2633
		Examiner Name	Not Yet Assigned
		Attorney Docket Number	5489P009
Sheet	2	of	2
OTHER ART AND PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
	1	B.Y. Kim, J. N. Blake, H.E. Engan, and H.J. Shaw, "Acousto-optic frequency-shifting in two-mode optical fibers," OFS '86, Tokyo, Japan (October 8-10, 1986).	
		J. O. Askautrud and H.E. Engan, "Fiberoptic frequency shifter with no mode change using cascaded acousto-optic interaction regions," Optics Letters, Vol. 15, No. 11, pp. 649-651 (June 1, 1990).	
		D. Ostling and H.E. Engan, "Narrow-band acousto-optic tunable filtering in a two-mode fiber," Optics Letters, Vol. 20, No. 11, pp. 1247-1249 (June 1, 1995).	
		T.A. Birks, P.S.J. Russell, and C.N. Pannell, "Low power acousto-optic device based on a tapered single-mode fiber," IEEE Photonics Technology Lett., Vol. 6, No. 6, pp. 725-727 (June 1994).	
		W.P. Risk, G.S. Kino, and B.T. Khuri-Yakub, "Tunable optical filter in fiber-optic form," Optics Letters, Vol. 11, No. 9, pp. 578-580 (September 1986).	
		M.Y. Jeon, et al., "An Electronically Wavelength-Tunable Mode-Locked Fiber Laser Using an All-Fiber Acoustooptic Tunable Filter," IEEE Photonics Technology Letters, Vol. 8, No. 12, pp. 1618-1620 (December 1996).	
		H.S. Kim, et al., "All-fiber acousto-optic tunable notch filter with electronically controllable spectral profile," Optics Letters, Vol. 22, No. 19, pp. 1476-1478 (October 1, 1997).	
		B.Y. Kim, "Acousto-Optic Components for WDM Applications," IEEE/LEOS Summer Topical Meetings, San Diego, California, USA, pp. 47-48, Invited Papers (July 26-28, 1999).	

Examiner Signature	Date Considered
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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

Application Number

10/024,905

Filing Date

12/18/2001

First Named Inventor:

Chang-Hee Lee

Group Art Unit

2633

Examiner Name

Not Yet Assigned

Attorney Docket Number

5489P009

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U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

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